

December 7, 2022

Mandatory Electronic Filing of Form 144

As of April 13, 2023, Form 144 will be required to be filed with the SEC via EDGAR. Form 144 may be filed electronically now, but other forms of submission, including paper submissions mailed to the SEC and email submissions are still permitted and accepted until April 13, 2023. Form 144s filed via EDGAR will be accepted for filing until 5:30 pm on those days that EDGAR is open.

In order to prepare to meet these upcoming requirements, we encourage our clients to review their SEC filing codes to ensure adequate time to apply for new codes or update accounts, as necessary. Form 144 must be filed by any affiliate of a public company who wishes to sell more than 5,000 shares, or shares with an aggregate sale price in excess of \$50,000.

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This memorandum is not intended to provide legal advice, and no legal or business decision should be based on its content. Questions concerning issues addressed in this memorandum should be directed to:

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